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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. AMK-BK-00001

First Inventor Sung Soon Park

Title "SEMICONDUCTOR PACKAGE STRUCTURE REDUCING WARPAGE..."

Express Mail Label No. EV 338 032 831 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant Claims small entity status.
See 37 C.F.R. § 1.27.

3. ☒ Specification
(preferred arrangement set forth below) [Total Pages 26]
• Descriptive title of the Invention
• Cross References to Related Applications
• Statement Regarding Fed-Sponsored R&D
• Reference to sequence listing, a table,
or a computer program listing appendix
• Background of the Invention
• Brief Summary of the Invention
• Brief Description of the Drawings (if filed)
• Detailed Description
• Claim(s)
• Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 13]
☒ Informal ☐ Formal

5. ☒ Oath or Declaration [Total Pages 3]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in
the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 C.F.R. § 1.76

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. § 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. ____/
Prior application information: Examiner _____ Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

0 2 2 8 8 8

or ☐ Correspondence address below

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Country

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+1 (408) 451-5906

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FAX

+1 (408) 451-5908

Name (Print/Type)

James E. Parsons

Registration No. (Attorney/Agent)

34,691

Signature

Date

September 26, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

22264 U.S. PTO
10/672886

09/26/03

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2003</h3> <p style="margin: 0; font-size: small;">Effective 01/01/2003. Patent fees are subject to annual revision.</p>		<p style="margin: 0; font-weight: bold; font-size: small;">Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">Filed Herewith</td> </tr> <tr> <td>Filing Date</td> <td>9/26/2003</td> </tr> <tr> <td>First Named Inventor</td> <td>Sung Soon Park</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Art Unit</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>AMK-BK-00001</td> </tr> </table>		Application Number	Filed Herewith	Filing Date	9/26/2003	First Named Inventor	Sung Soon Park	Examiner Name		Art Unit		Attorney Docket Number	AMK-BK-00001
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<input type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. § 1.27															
TOTAL AMOUNT OF PAYMENT	(\$)	790.00													

<p style="text-align: center; font-weight: bold; font-size: small;">METHOD OF PAYMENT (check one)</p> <p>1. <input checked="" type="checkbox"/> The Director is authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Acct. No. 50-0574 (Docket No. AMK-BK-00001)</p> <p>Deposit Acct Name Bever, Hoffman & Harms, LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR § 1.16 & 1.17</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p style="font-size: x-small;"> <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other </p> <p style="text-align: center; font-weight: bold; font-size: small;">FEE CALCULATION</p> <p>1. BASIC FILING FEE</p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1001</td> <td>750</td> <td>2001</td> <td>375</td> <td>Utility filing fee</td> <td style="text-align: center;">750.00</td> </tr> <tr> <td>1002</td> <td>330</td> <td>2002</td> <td>165</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>1003</td> <td>520</td> <td>2003</td> <td>260</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>1004</td> <td>750</td> <td>2004</td> <td>375</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>1005</td> <td>160</td> <td>2005</td> <td>80</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (1)</td> <td style="text-align: center;">(\$)</td> </tr> <tr> <td colspan="5"></td> <td style="text-align: center;">750.00</td> </tr> </tbody> </table> <p>2. 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1460	130	1460	130	Petitions to the Commissioner																																																																																																																																																																																																																																																																																																													
1807	50	1807	50	Petitions related to provisional applications																																																																																																																																																																																																																																																																																																													
1806	180	1806	180	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																																																																													
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00																																																																																																																																																																																																																																																																																																												
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																																																																																													
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																																																																																																																													
1801	750	2801	375	Request for Continued Examination (RCE)																																																																																																																																																																																																																																																																																																													
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SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	James E. Parsons	Registration No.	34,691	Telephone	(408) 451-5906
Signature				Date	9/26/2003

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**NONPUBLICATION REQUEST
UNDER
35 U.S.C. § 122(b)(2)(B)(i)**

First Named Inventor

Sung Soon Park

Title

SEMICONDUCTOR PACKAGE
STRUCTURE REDUCING WARPAGE
AND MANUFACTURING METHOD
THEREOF

Attorney Docket Number

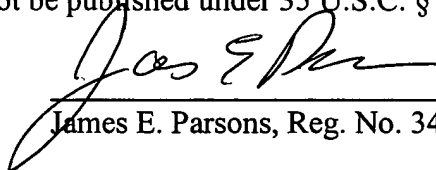
AMK-BK-00001

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

September 26, 2003

Date


James E. Parsons, Reg. No. 34,691

(408) 451-5906
Telephone number

This request must be signed in compliance with 37 C.F.R. § 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. § 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. § 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.